

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

> Commissioner for Patents Washington, D.C. 20231 on October 22, 2001

> > Date of Deposit

Vincent J. Gnoffo, Reg. No. 44,714

Name of applicant, assignee or Registered Representative

Signature

October 22, 2001

Date of Signature

Case No. 10781/9

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ioannis Pallikaris et al.

Serial No.:

09/911,356

Filed:

July 23, 2001

For:

DEVICE FOR

SEPARATING THE
EPITHELIUM LAYWER
FORM THE SURFACE
OF THE CORNEA OF AN

EYE

Attention: Manager, Application Branch

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

Commissioner for Patents Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated <u>September 13, 2001</u>, a copy of which is attached, enclosed herewith for filing are the following documents:

Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

$\boxtimes$	Applic	cant is:	
	$\boxtimes$	a small entity, verified stateme	ent is:
		attached	
		already filed	
		other than small entity	
$\boxtimes$	Check	s totaling \$502 for:	
	$\boxtimes$	Filing Fee of \$ <u>370</u> .	
	$\boxtimes$	Surcharge of \$60.	
	$\boxtimes$	Additional Claim Fees of \$27.	
	Petitio duplic	•	F.R. § 1.136(a)) to file missing parts (in
	Other:	·	
$\boxtimes$	credit		ed to charge any deficiencies in fees or nt No. 23-1925. A duplicate copy of this
			Respectfully submitted,
Dated:	Octo	ober 22, 2001	Vincent J. Gnoffor Registration No. 44,714 Attorney for Applicant(s)
P.O. B CHIC	OX 10	L 60610	
rev. Oct.			

		1 NSMITTAL LETTER								Case No. 10781/9			
•		Serial No.         Filing Date         Examine           09/911,356         July 23, 2001         Unknow,					· · · · · · · · · · · · · · · · · · ·						
	09/911,3 Inventor		I July	23, 200 l	Unkn	UWA	<u></u>		3731	· · · · · · · · · · · · · · · · · · ·			
ρ E J	Ioannis I	Pallikaris et al.											
/ E U	CTILL OF Invention DEVICE FOR SEPARATING THE EPITHELIUM LAYER FROM THE SURFACE OF THE CORNEA OF AN EYE												
0920													
, 0 -	Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Notice to File Missing Parts (2pp.); Response to File												
	Missing Parts (2p.); Check in the amount of \$502 to cover Filing Fee and surcharge; Assignment Recordation (1p.); Check in the mount of \$40 to cover Recordal of Assignment; Executed Assignment (2pp.); Executed Declaration (2pp.); Executed Power of Attorney (1p.); Information Disclosure Statement (2pp. Filed in Dup.); Form PTO 1449 (1p. 13 cited references); and PTO Reply												
T& TRA	Attorney	(1p.); Information	Disclosure	Statement (2pp. Fi	iled Assignment iled in Dup.); F	om	PTO 1449	(1p. 13 cit	ed refere	ences); and P	O Reply		
	Postcard  On the first of the control of the contro												
		Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.											
		A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.											
		Petition for amonth extension of time.											
	$\boxtimes$	No additional fee	e is required										
		The fee has bee	n calculated	as shown below:									
	Other Than Small Entity Small Entity												
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		Claims Remaining		Highest No. Previously	Present			Add'l	or		Add'i		
	*	After Amendment		Paid For	Extra		Rate	Fee		Rate	Fee		
	Total	23	Minus	20	3		x \$9=	27		x \$18=			
	Indep.	1	Minus	3			x 42=			x \$84=			
	First Pre	sentation of Multip	le Dep. Clai	m			+\$140=		1	+\$280=			
- 1						,	Total add'l fee	\$27		Total add'l fee	\$		
		Please charge D		ount No. 23-1925 (B d.	RINKS HOFEF	R GII	LSON & LIC	ONE) in the	amount	of \$ A	duplicate		
	$\boxtimes$	A check in the a are enclosed.	mount of \$5	02 to cover the filin	g fee and surcl	narg	e and a che	eck for \$40	to cover	recordal of as	signment		
		The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.											
	I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.												
	Respectfully submitted,												
	Vincent J. Gnoffo Registration No. 44,714												
	Attorney for Applicant BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200												
	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 22, 2001.												
	Date:	4.22.200	1	re: Vinca-	to le				_				
	rev. Dec0 Document6				0	T	)0		-				





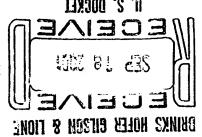
## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/911,356 07/23/2001 loannis Pallikaris 10781/9

VINCENT J. GNOFFO
BRINKS, HOFER, GILSON & LIONE
455 N. CITYFRONT
NBC TOWER, SUITE 3600
CHICAGO, IL 60611



CONFIRMATION NO. 3390
FORMALITIES LETTER
\*OC000000006547316\*

Date Mailed: 09/13/2001

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$27.
  - \$27 for 3 total claims over 20.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 447.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

# A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Serial No.				5ANSMITTAL LE	TTER	<del></del> -		<del></del>	Case N	lo. 10781/9		
Inventor	Serial N	to.		Filing Date Examiner								
Idea   Indian   Idea	09/911,356		July	July 23, 2001 / Unknown			<u> </u>		3731			
Transmitted herewith is Transmitted Cover Letter (1p. Filed in Dup.): Respect for Substitute Papers (1p.); Respectively Re				:	,							
TO THE COMMISSIONER FOR PATENTS  Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Request for Substitute Papers (1p.); Respective Papers (2pp.); Cony of October 22, 2001 filing; and PTO Reply Postcard  Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.  A verified statement to establish small entity status under 37 CFR § 1.9 and 1.27 is enclosed.  Patition for amonth extension of time.  No additional fee is required.  The fee has been calculated as shown below:  Claims	Jitle of	Invention	0 745 503	FUEL HANA LANGE	CDOM THE C	LIDEAC	e of the (	CORNEA OF	ANIEV	<b>E</b>		
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Petition for amonth extension of time.  No additional fee is required.  The fee has been calculated as shown below:  Claims Remaining After After Paid For Extra Add'I Rate Fee Add'I		Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previous submitted.										
No additional fee is required.  The fee has been calculated as shown below:  Claims Remaining After After Amendment  Minus  Indep.  Minus  Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$		A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.										
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First Presentation of Multiple Dep. Claim    + \$140	Total		Minus				x \$9=	27	]	x \$18=		
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Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$	First Pre	esentation of Mul	tiple Dep. C	laim						+ \$280 =		
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Vincent J. Gnoffo Registration No. 44,714 Attorney for Applicant  BRINKS HOFER GILSON & LIONE		filed. Please cl	harge any a	ssociated fees w	hich have no	t other	wise been p	paid to Depo	osit Acc	ount No. 23-	1925	
Registration No. 44,714 Attorney for Applicant  BRINKS HOFER GILSON & LIONE					Respect	fully su	ıbmitted,					
Registration No. 44,714 Attorney for Applicant  BRINKS HOFER GILSON & LIONE					( )		1_	M	00			
Registration No. 44,714 Attorney for Applicant  BRINKS HOFER GILSON & LIONE						m	cent	X Dan	off	<u> </u>		
Attorney for Applicant BRINKS HOFER GILSON & LIONE								V	UU			
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			a LIUNE									

CHICAGO, ILLINOIS 60610 (312) 321-4200

I hereby certify that this correspondence is being deposited VIA HAND DELIVERY, to: Customer Service Window, Room 1803, Crystal Plaza Building 2, Arlington, VA 22202, on October 9, 2002.

Date: Oct. 8, 2002 Signature: Vincent J Broffo

Town Dec. 01

DOCUMENTS

rev. Dec.-01 Document6

OCT 0 9 2002 CERTIFICATE OF DELIVERY

Thereby certify that this correspondence is being hand delivered to: Customer Service Window, Room 1B03, Crystal Plaza Building 2, Arlington, VA 22202, on

October 9, 2002

Date of Deposit

Vincent J. Gnoffo, Reg. No. 44,714

Name of Applicant, Assignee or Registered Representative

Signatu

Signature

Date of Signature

Our Case No.: 10781/9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Not yet assigned

Group Art Unit No.: 3731

In re Application of:

Ioannis G. Pallikaris et al.

Serial No.: 09/911,356

Filing Date: July 23, 2001

For: [

DEVICE FOR SEPARATING THE

EPITHELIUM LAYER FROM THE SURFACE OF THE CORNEA OF

AN EYE

RESPSONSE TO REQUEST FOR SUBSTITUTE PAPERS

VIA HAND DELIVERY Customer Service Window Room 1B03 Crystal Plaza Building 2 Arlington, VA 22202

Dear Sir:

In response to the Request for Substitute Papers mailed September 23, 2002,

Applicants hereby submit a complete and accurate copy of papers filed on

October 22, 2001. Applicants respectfully request that the attached documents be entered into the present case.

Date: October 8, 2002

Ume

Registration No. 44,714 Attorney for Applicant(s)

Respectfully submitted,

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610

(312) 321-4200



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.		
09/911,356	07/23/2001	Pallikaris, Ioannis	10781/9		

VINCENT J. GNOFFO BRINKS, HOFER, GILSON & LIONE 455 N. CITYFRONT **NBC TOWER, SUITE 3600** CHICAGO IL 60611

Title: Device for separating the epithelium layer from the surface of the cornea of an eye

Date Mailed: 09/23/2002

# **Request for Substitute Papers**

The papers filed on 01/29/02 (certificate of mailing dated 10/22/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: VINCENT J. GNOFFO Registration No.: 44,714
Signature: Vincent J Lroffo Date: 10.8.02

